
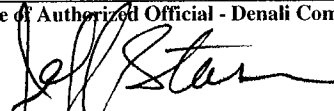

	<h2 style="text-align: center;">Financial Assistance Award</h2> <p style="text-align: center;">DENALI COMMISSION 510 "L" Street, Suite 410 Anchorage, Alaska 99501 (907) 271-1414</p>		Project Number 0015-DC-2000-I4e	
			Accounting Code 95670000	
				
Recipient Name & Address			Denali Commission Share of Cost \$304,500 (\$290,000 for clinic construction at the St. Michael clinic and \$14,500 for construction management services)	
<u>Recipient Name & Address</u> Alaska Native Tribal Health Consortium 1901 South Bragraw Street, Suite 200 Anchorage, AK 99508-3440			Total Estimated Cost \$304,500	
Contact info: Mr. Roger Marcil, ANTHC Project Officer Mr. Paul Sherry, ANTHC Chief Executive Officer Phone: (907) 729-3600 Fax: (907) 729-4090			(Note: this funding for the completion of the St. Michael clinic was originally obligated through Project No. 0015-DC-2000-I4b to the Alaska Department of Commerce and Economic Development; however the funding has been reprogrammed to ANTHC as project manager for the community.)	
Award Performance Period From July 1, 2000 to July 31, 2004			Authority 112 Stat 1854	CFDA Number 90.100
Project Title Completion of Rural Health Clinics (St. Michael clinic)				
This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission is issued in triplicate and constitutes an obligation of federal funding. By signing the three documents, the Recipient agrees to comply with the Award provisions indicated below and attached. Upon acceptance by the Recipient, two signed Award documents shall be returned to the Federal Co-Chair of the Denali Commission and the Recipient shall retain the third document. If not signed and returned without modification by the Recipient within 30 days of receipt, the Federal Co-Chair may unilaterally terminate this Award.				
<input checked="" type="checkbox"/> Special Award Conditions and Attachments – Including: Award Conditions and Amendment No. 1 to the Jt. Project Agreement - Completion of Rural Health Clinics - Project No. 0015-DC-2000-I4 shall also apply to this Award document. <input type="checkbox"/> Line Item Budget <input checked="" type="checkbox"/> OMB Circular A-133, States, Local Governments, and Non-Profit Organizations (www.whitehouse.gov/OMB/circulars/a133/a133.html)				
Administrative Requirements (check one)				
<input type="checkbox"/> 15 CFR 24, Uniform Admin Requirements for Grants/Cooperative Agreements to State and Local Governments (www.access.gpo.gov/nara/cfr/waisidx_99/15cfr24_99.html) <input checked="" type="checkbox"/> 15 CFR, Part 14, Uniform Administrative Requirements for Grants and Agreements with Institutions of Higher Education, Hospitals, Other Nonprofit, and Commercial Organizations (www.access.gpo.gov/nara/cfr/waisidx_99/15cfr14_99.html)				
Cost Principles (check one)				
<input type="checkbox"/> OMB Circular A-87, Cost Principles for State and Local Governments and Indian Tribal Governments (www.whitehouse.gov/OMB/circulars/a087/a087-all.html) <input checked="" type="checkbox"/> OMB Circular A-122, Cost Principles for Nonprofit Organizations (www.whitehouse.gov/OMB/circulars/a122/a122.html) <input type="checkbox"/> OMB Circular A-21, Cost Principles for Educational Institutions (www.whitehouse.gov/OMB/circulars/a021/a021.html) <input type="checkbox"/> 48 CFR 31.2, Contracts with Commercial Organizations				
Signature of Authorized Official - Denali Commission		Typed Name and Title		Date
		Jeffrey B. Staser, Federal Co-Chair		8/9/02
Signature of Authorized Official - ANTHC		Typed Name and Title		Date
		Paul Sherry, Chief Executive Officer		8/27/02

Financial Assistance Award Conditions
Project No. 0015-DC-2000-I4e
Completion of the St. Michael Clinic
Alaska Native Tribal Health Consortium (ANTHC)
August 2002

1. *Scope of Work*

The scope of work for this Financial Assistance Award (Award) is the completion of the St. Michael clinic.

All Commission funding is intended for use for the scope of work identified in the Award document only. In the event there is a balance of funding after the full scope of work is completed, then the Commission (in consultation with ANTHC) will determine how the excess funds will be allocated. The final decision on how excess funds are used is a Commission decision, and may include withdrawing excess funds for reallocation to other Commission projects. ANTHC will return any unexpended project funds (based upon pro rata project contributions) to the Commission at the end of the one-year project warranty period.

2. *Award Performance Period*

The Award performance period is July 1, 2002 through July 31, 2004. This is the period during which Award recipients can incur obligations or costs against this Award.

3. *Direct and Indirect Costs*

The cost principles of OMB Circular A-122 (Cost Principles for Nonprofit Organizations) are applicable to this Award. Please refer to the cost principles regulations for specific details on other allowable charges under this Award.

4. *Budget and Program Revisions*

The Administrative Circular 15 CFR Part 14 applies to this Award. Please refer to the Administrative Circular for specific details on revisions to this Award. The Administrative Circular requires that ANTHC will inform the Commission in writing (e-mail, letter, or report) at the earliest possible date of any unanticipated project cost overrun, project schedule delays, or changes in the project scope or changed site conditions.

5. *Reporting*

Four forms of project reporting are required under this Award, listed below. The first quarterly reporting period is June 30, 2002 to October 1, 2002. Unless otherwise indicated, reports are due within 30 days of the end of the reporting period.

The Commission reserves the right, at a future date, to direct ANTHC to provide the progress reports in electronic format for posting on the Commission web page.

- a. Quarterly project reporting shall include both a narrative and financial summary. The narrative summary shall include a summary of the project status and accomplishments to date, and address the following questions: is the project on schedule, is the project on budget, and what actions are planned to address any project problems. The project financial status report shall show the following:
 - i. The total project budget
 - ii. The project schedule with milestone dates for design and construction
 - iii. The total amount of Denali Commission funds committed to the project
 - iv. The total project expenditures as of the end of the most recent quarter
 - v. The total expenditure of Denali Commission funds for the project as of the end of the most recent quarter
 - vi. The percentage of expenditures to the total budget; and
 - vii. A project performance analysis on project line items, as defined by ANTHC, showing budget costs compared to actual expenditures and obligation to date versus work performed to date (for each line item).
- b. A final Financial Status Report (Standard Form 269 – www.whitehouse.gov/OMB/grants/index.html#forms) shall be submitted to the Commission Project Officer within 90 days after the end of the Award Performance Period. If the Award Performance Period is longer than one year, or if the Agreement is revised to extend the Award Performance Period beyond one year, the recipient must submit a completed Standard Form 269 annually within 90 days after the end of each anniversary of the Award date.
- c. A Labor Type, Residence, and Wage Report shall be submitted to the Commission project officer at a minimum of two times annually. At a minimum, these two reports must be submitted on or near August 1st and December 1st each year to correspond with the Alaska construction season. A Labor Type, Residence, and Wage Report must included the following information by construction project for any person employed on the construction of that project: position, place of primary residence, first check date, last check date, rate of pay per hour, payroll earnings from inception of project to date. Personal or confidential information such as Social Security numbers, names of individuals, or other information that would identify an individual should not be included in this report.
- d. Photographic documentation shall be provided to the Commission at the beginning of the project and at project completion. Photo documentation shall include a minimum of five “before” pictures showing the situation before the start of construction, 10 “during” (provided at project completion) showing work proceeding on the project, and 5 “after” to show the finished project. For minor repair and O&M projects, pictures should be provided before and after to the

extent possible. Photos shall be provided as photo quality, 3x5 prints with a negative for each picture, and/or print quality electronic photos (digital images). A short description of the activity and names of those in the photos shall also be provided.

6. Payments

Payments under this Award will be made through the U.S. Department of Treasury's Automated Standard Application for Payment (ASAP) system. The ASAP system is the Commission's mechanism for requesting and delivering Federal funds to Award recipients. Your organization must be registered with the ASAP program in order to make draw downs. Please contact the Commission's Project Manager or Finance Manager for further information about registering with the ASAP program. Payments will be made in accordance with Administrative Circular 15 CFR Part 14. **No interest will be accrued on these funds.**

7. Award Close Out

- a. The Award closeout must be completed within 90 days of the end of the Award performance period or within 90 days of the completion of the project, whichever is earlier. The project closeout process includes the submission of both a final narrative report and financial status report. ANTHC must also request any remaining funds for expenditures under this award during this 90-day period. Please refer to the Commission guidance for project closeout for additional details on the requirements. This is available by contacting the Commission office or the Project Manager.
- b. The closeout report will include reporting on the percentage of the project that was performed through force account and the percentage of the project that was performed through the use of construction contracting (+/- 2%). Project expenditures should be tracked during project construction in order to meet this requirement.
- c. Acknowledgement of support: For all construction projects, the Award recipient shall include an acknowledgement of the Government's support for the project(s) developed under this Award. The Award recipient shall display a sign that includes the following:
 - i. The Denali Commission logo displayed on the upper right-hand quadrant;
 - ii. The phrase: "This project was financed by the Denali Commission and its partners (*list the name of the funding partners*)";
 - iii. The logo of each partner in the lower right-hand quadrant.

The cost of this sign shall be paid out of the project funding received by the Award recipient from the Denali Commission. Final approval of signage material and placement of sign must be obtained from the Commission Project Manager.

8. Public Policy Laws and Assurances

Award Recipients are required to comply with the public policy laws and assurances on Standard Forms SF 424b (non-construction projects) or SF 424d (construction projects). This form must also be signed by a certifying official of the organization. Some of the laws are highlighted below for your reference.

To the maximum extent practicable, considering applicable laws, Funding Recipients shall accomplish the project contemplated by the Award using local Alaska firms and labor.

No portion of this award may be used for lobbying or propaganda purposes as prohibited by 18 U.S.C. Section 1913 or Section 607(a) of Public Law 96-74.

Project level environmental reviews in accordance with the National Environmental Policy Act (NEPA) and the National Historic Preservation Act (NHPA) are required for each project undertaken with Denali Commission funds.

9. Project Officers & Contact Information

Denali Commission	ANTHC
Joel Neimeyer, P.E. Denali Commission 510 "L" Street, Suite 410 Anchorage, AK 99501 907-271-1459 907-271-1415 Fax jneimeyer@denali.gov	Roger Marcil Alaska Native Tribal Health Consortium 3925 Tudor Centre Dr. Anchorage, AK 99508 907-729-3600 907-729-4090 Rmarcil@anthc.org

10. Changes to the Financial Assistance Award

Changes can take two forms.

Amendments shall be identified by sequential number followed by the Denali Commission project number (i.e. Amendment No. 2 – Project # 0001-DC-1999-II). The Commission Federal Co-Chair and the designated representative for the ANTHC shall execute these Amendments. These documents (in Financial Assistance Award format with attached Award Conditions) define the changes to the scope of work, project schedule and estimated costs for each specific project undertaken. In general,

Amendments are used for changes in funding from the Commission and/or other sources to the total project amount.

Addendums to Financial Assistance Award are in letter format from the designated Project Officer for the Commission to the designated Project Officer for the ANTHC. Addendums shall be identified by sequential letter followed by the Denali Commission project number (i.e. Addendum No. B – Project # 0001-DC-1999-I1). These Addendums shall be executed by the concurrence of the Commission Project Officer and approval by the Commission Chief of Staff. In general, Addendums are used for small changes in project scope. These addendums shall include a scope of work, negotiated cost reimbursement, a method to measure completion of work, and lastly payment method.

**AMENDMENT NO. 1
TO THE
JOINT PROJECT AGREEMENT**

**BETWEEN THE
U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT
THE
ALASKA DEPARTMENT OF COMMUNITY AND ECONOMIC DEVELOPMENT
THE
ALASKA NATIVE TRIBAL HEALTH CONSORTIUM
THE
NORTON SOUND HEALTH CORPORATION
AND THE
DENALI COMMISSION**

**FOR COMPLETION OF RURAL HEALTH CLINICS
PROJECT No. 0015-DC-2000-14**

August 2002

I. PARTIES

This document constitutes an Agreement between the U.S. Department of Housing and Urban Development (a Federal agency) hereinafter referred to as HUD, the Alaska Department of Community and Economic Development (a State agency) hereinafter referred to as DCED, the Alaska Native Tribal Health Consortium (a statewide Tribally managed non-profit health care organization) hereinafter referred to as ANTHC, the Norton Sound Health Corporation (a regional Tribally managed non-profit health care organization) hereinafter referred to as NSHC, and the Denali Commission (an independent agency established by the Denali Commission Act of 1998) hereinafter referred to as the Commission.

II. PURPOSE

In April 2000 the Commission undertook a pilot demonstration project with community health clinics and funded clinic completion projects in Wales, Savoonga, St. Michael and Emmonak (projects that had started but were not finished for a lack of funding). Resolution No. 00-10 obligated a total of \$1 Million in FY00 funding towards this purpose.

ANTHC has been providing project management services to the community of St. Michael for development of a community water and sewer system. Consequently, the City of St. Michael requested that ANTHC take the lead for construction management for the clinic project. Both ANTHC and NSHC have agreed to this request and the St. Michael project is presently under construction.

NSHC experienced increased construction costs on the Wales and the Savoonga clinic projects. To offset these costs the NSHC project management fee of \$15,000 for the St. Michael project shall be made available to NSHC for the Wales and Savoonga projects.

This Amendment is to reallocate a portion of the \$1 Million in FY00 funding for the purposes outlined above. It has been reported by ANTHC and NSHC that the cost estimates for the Wales, Savoonga and St. Michael clinic projects were insufficient and additional funding is required to complete these projects. In the event the Commission elects to fund these project costs above the original cost estimates, the mechanism will be through separate Award documents for FY02 or FY03 Commission funding.

III. MUTUAL INTEREST OF THE PARTIES

All the parties are interested in the development, funding, construction and long-term operation of effective rural clinic projects and programs for rural Alaskan communities.

As this Amendment does not affect the outcome of the Savoonga clinic project and HUD's roles and responsibilities identified in the original Joint Project Agreement are not changed, the agency is not included in the signature block, however a copy of the Amendment shall be provided to HUD.

IV. RESPONSIBILITIES OF THE PARTIES

DCED will not amend an existing agreement with the City of St. Michael to allow for additional funding from the Commission to complete the St. Michael project. This funding will be provided to ANTHC on behalf of the City of St. Michael.

ANTHC shall also provide construction management services for the City of St. Michael to complete the clinic through locally provided force account labor and some specialty subcontractors.

V. APPORTIONMENT OF COSTS AND SERVICES

The Commission shall provide funding of \$304,500 to ANTHC for the St. Michael clinic instead of providing these funds to A-DCED. A total of \$290,000 is made available for construction costs and \$14,500 for construction management services. The funds shall be provided on a schedule of payments agreeable to ANTHC and the Commission.

VI. PERIOD OF AGREEMENT AND MODIFICATION/TERMINATION

This Agreement will become effective when signed by all parties. The Agreement will terminate upon the completion of the Assessment, but may be amended at any time by mutual consent of the parties. Any party may terminate this Agreement by providing 60 days advance written notice to the other parties.

AMENDMENT NO. 1 - PROJECT No. 0015-DC-2000-14
COMPLETION OF RURAL HEALTH CLINICS

PAGE 3
AUGUST 2002

VII. OTHER PROVISIONS

Nothing herein is intended to conflict with current directives of all the parties. If the terms of this Agreement are inconsistent with existing directives of any of the agencies entering into this Agreement, then those portions of this Agreement which are determined to be inconsistent shall be invalid; but the remaining terms and conditions not affected by the inconsistency shall remain in full force and effect. At the first opportunity for review of the Agreement, all necessary changes will be accomplished by either an Amendment to this Agreement or by entering into a new Agreement, whichever is deemed expedient to the interest of all parties.

IN THE WITNESS WHEREOF, the parties have subscribed their names,

8.13.02
Date

Deborah B. Sedwick
Deborah B. Sedwick
Commissioner
Department of Community and Economic Development

8/23/02
Date

Paul Sherry
Paul Sherry
President/CEO
Alaska Native Tribal Health Consortium

9-19-02
Date

Joe Cladouhos
Joe Cladouhos
President/CEO
Norton Sound Health Corporation

8/9/02
Date

Jeff Staser
Jeff Staser
Federal Co-Chair
Denali Commission



DENALI COMMISSION

510 'L' Street, Suite 410
Anchorage, Alaska 99501

www.denali.gov
(907) 271-1414
Fax (907) 271-1415
Toll free 1-888-480-4321

August 19, 2002

Paul Sherry
Chief Executive Officer
Alaska Native Tribal Health Consortium
4141 Ambassador Drive
Anchorage, AK 99508

RE: St. Michael Health Clinic – Reprogramming Denali Commission Funding – Project No.
0015-DC-2000-I4e


Dear Mr. Sherry:

Enclosed are three copies of the St. Michael clinic Financial Assistance Award documents and Joint Project Agreement documents. As originally conceived, the Norton Sound Health Corporation was to provide project management for the St. Michael clinic. In order to accomplish this, Commission funding was to be provided to A-DCED to supplement an existing A-DCED grant to St. Michael. However, the community of St. Michael subsequently requested that the Alaska Native Tribal Health Consortium (ANTHC) manage the project. This decision was based upon a historic working relationship developed between ANTHC and the community through the provision of sanitation facility projects. Consequently, we have amended the funding documents to reflect this community request and Commission funding will not be provided to A-DCED, but rather to ANTHC.

If the conditions of reprogramming Commission funding from A-DCED to ANTHC, as presented in these documents, are acceptable to you, please sign all three original 0015-DC-2000-I4e documents and all six copies of the Amendment No. 1 to the Joint Project Agreement. Please return two of the signed 0015-DC-2000-I4e documents and retain the third for your records. Please return all of the Joint Project Agreement documents. When all parties have signed the Agreement, an executed copy will be provided to A-DCED.

You may call me at the numbers provided above, or call Mr. Joel Neimeyer from my staff at 271-1459, if you have any questions.

Sincerely,


Jeff Staser
Federal Co-Chair

Enclosures